The chart below provides a high-level overview of disclosure requirements in research if federally sponsored by the Public Health Service (PHS) and those sponsors enforcing the same requirements. **UT has adopted the PHS disclosure requirements which are reflected in the OID Form.**

Together, we can help ensure that your research is conducted in an open academic environment and continues without interference, bias, restrictions on results, or any burdens on personnel, students, and facilities.

<table>
<thead>
<tr>
<th>Category</th>
<th>PHS and Agencies Using PHS Requirements</th>
</tr>
</thead>
<tbody>
<tr>
<td>Disclosure Events</td>
<td>• PHS research contract/grant</td>
</tr>
<tr>
<td></td>
<td>• Non-governmental entity which flows down PHS funds</td>
</tr>
<tr>
<td></td>
<td>• Research funding from organizations that follow PHS disclosure requirements</td>
</tr>
</tbody>
</table>

**Key Terms/Thresholds**

A significant financial interest (SFI) is defined by PHS as:

- If publicly traded entity, total value of compensation > $5,000 or equity interest > $5,000
- If non-publicly traded entity, total value of compensation > $5,000 or any equity interest, regardless of value
- Income received related to intellectual property > $5,000
- Reimbursed or sponsored travel > $5,000

Additional information regarding the National Institutes of Health (NIH) requirements for disclosing SFIs can be found within NIH Grants and Funding FAQs, Section E.

**Timing**

- With the initial and renewal proposals
- With supplemental funding proposal
- With non-competing continuation
- With no cost time extension
- When a new researcher/investigator(s) is added
- At least annually for duration of project
- With any new significant financial interest
- Within thirty (30) days of acquiring or discovering a new SFI or an SFI that was not disclosed timely.
- With any discovery of a SFI that was not previously identified/managed, a retrospective review must be conducted in accordance with NIH requirements. Please see steps identified within the NIH Grants and Funding FAQs, Section I.

**NOTE:** Other federal and state agencies, including the National Science Foundation (NSF), industry sponsors, and non-profit research associations may have their own standards for disclosure and it is important that you understand them if you engage in research with a particular agency.

Always ask a supervisor or contact a subject matter expert if you have any questions or concerns. **When in doubt, disclose.**